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	Application Number	10/080,742
	Filing Date	February 21, 2002
TRANSMITTAL FORM	First Named Inventor	Markus Olhofer
(to be used for all correspondence during pendency of filed application)	Group Art Unit Number	2123
	Examiner Name	Ayal I. Sharon
Total Number of Pages in This Submission	**13***	Attorney Docket Number	**23077-06634**

ENCLOSURES *(check all that apply)*

☐ Fee Transmittal Form (in duplicate)	☐ Issue Fee Transmittal
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☐ Response to Notice to File Missing Parts	[ ] Sheet(s) of Figure(s) [ ]
☐ Assignment & Recordation Cover Sheet	☐ Appeal Communication to Board of Appeals and Interferences
☐ Declaration	
☐ Power of Attorney	☐ Appeal Communication to Group *(Appeal Notice, Brief, Reply Brief)*
☐ Application Data Sheet	
☒ Supplemental Information Disclosure Statement & PTO/SB/08A	☐ Certified Copy of Priority Document(s)
☒ Copies of IDS Cited Non-Patent References	☐ After Allowance Communication to Group
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SIGNATURE OF ATTORNEY OR AGENT

Signature:	
Attorney/Reg. No.:	John T. McNelis, Reg. No. 37,186	Dated:	2 March 2007

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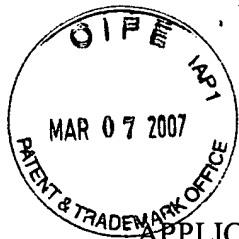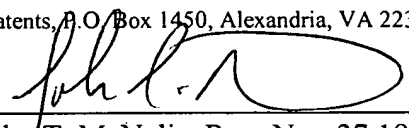
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Markus Olhofer et al.
APPLICATION NO.:	10/080,742
FILING DATE:	February 21, 2002
TITLE:	Strategy Parameter Adaptation in Evolution Strategies
EXAMINER:	Ayal I. Sharon
GROUP ART UNIT:	2123
ATTY. DKT. NO.:	23077-06634

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

☒ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

  ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR

  ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:

    ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR

    ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;

☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:

  ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

    ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;

    ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this
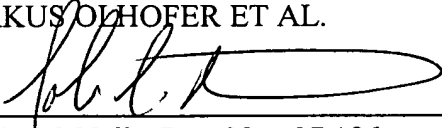
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certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

☐   attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(d); OR

☐   after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

☐   Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

☐   This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____ , filed on _____ , and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

☒   Copies of any foreign patent documents and non-patent literature cited herein are enclosed.

☐   Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

☒   Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,

MARKUS OLHOFER ET AL.

Dated: _Z March 2007_   By: _____

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

		Complete if Known
	Application No.	10/080,742
	Filing Date	February 21, 2002
	First Named Inventor	Markus Olhofer
	Art Unit	2123
	Examiner Name	Ayal I. Sharon
Sheet	1 of 9	Attorney Docket Number	23077-06634

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document No. Number – Kind Code[2] (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-2004/0049472 A1	03-11-2004	Hayashi et al.
	A2	US-6,662,167	12-09-2003	Xiao
	A3	US-6,606,612	08-12-2003	Rai et al.
	A4	US-6,578,018	06-10-2003	Ulyanov
	A5	US-6,449,603	09-10-2002	Hunter
	A6	US-2002/0138457 A1	09-26-2002	Jin et al.
	A7	US-5,924,048	07-13-1999	McCormack et al.
	A8	US-5,819,244	10-06-1998	Smith
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	A10	US-5,541,848	07-30-1996	McCormack et al.
	A11	US-5,461,570	10-24-1995	Wang et al.
	A12	US-5,355,528	10-11-1994	Roska et al.
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Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3] – Number[4] Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T[6]
	B1	EP 1205877 A1	05-15-2002	Honda R&D Europe (Deutschland GmbH)	
	B2	WO 02/057946 A1	07-25-2002	The Board of Trustees of the University of Illinois	

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Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T[6]
	C1	AGREZ, D. "Active Power Estimation by Averaging of the DFT Coefficients," Proceedings of the 17th IEEE	

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			Art Unit	2123
			Examiner Name	Ayal I. Sharon
Sheet	2	of	9	Attorney Docket Number	23077-06634

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Art Unit	2123
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| Sheet | 3 | of | 9 | Attorney Docket Number | 23077-06634 |

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	C27	European Search Report, EP Application No. 00124824.4, June 14, 2001, 3 pages.	
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Sheet	4	of	9	Attorney Docket Number	23077-06634	

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				Complete if Known
			Application No.	10/080,742
			Filing Date	February 21, 2002
			First Named Inventor	Markus Olhofer
			Art Unit	2123
			Examiner Name	Ayal I. Sharon
Sheet	7	of	9	Attorney Docket Number	23077-06634

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